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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Philipp H. Schmid

Appln. No. : 09/751,613

Filed : December 29, 2000

For : CONTEXT FREE GRAMMAR ENGINE
FOR SPEECH RECOGNITION
SYSTEM

Docket No.: M61.12-0324

Group Art Unit: 2655

Examiner: Huyen X Vo

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA 22313-2450, THIS

5th DAY OF *October*, 20*04*
Christopher Z. Kelle
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. ☐ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. ☒ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).

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180.00 DP

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B. X the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

 that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

 that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

 No fee is required.

 X Attached is a check in the amount of \$ 180.00 .


The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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CLH:slg

FORM PTO-1449	Atty. Docket No.: M61.12-0324	Appl. No.: 09/751,613
<p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> 	First Named Inventor:	
	Philipp H. Schmid	
	Filing Date	Group Art:
	December 29, 2000	2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,064,959	5/2000	Young et al.	704	251	
AB	5,995,928	11/1999	Nguyen et al.	704	251	
AC	6,314,399	11/2001	Deligne et al.	704	257	
AD	5,865,626	2/1999	Beattie et al.	434	185	
AE	2002/0138265	9/2002	Stevens et al.	704	251	
AF	5,913,192	06/1999	Parthasarathy et al.	704	256	
AG	6,243,678	06/2001	Erhart et al.	704	249	
AH	5,933,525	08/1999	Makhoul et al.	382	186	
AI	4,771,401	09/1988	Kaufman et al.	715	533	
AJ	6,018,708	01/2000	Dahan et al.	704	244	
AK	4,914,590	04/1990	Loatman et al.	704	8	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						


OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Hataoka et al. "Sophisticated Speech Processing Middleware on Microprocessor", 1999 IEEE 3 rd Workshop on multimedia signal processing: Copenhagen, 13-15 September 1999.
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,487,533	11/2002	Hyde-Thompson et al.	704	260	
AB	6,466,909	10/2002	Didcock, Cliff	704	260	
AC	6,513,009	01/2003	Comerford et al.	704	270	
AD	5,748,974	05/1998	Johnson, David Edward	704	9	
AE	6,456,974	09/2002	Baker et al.	704	270.1	
AF	6,618,703	09/2003	Peres et al.	704	270	
AG	5,799,273	08/1998	Mitchell et al.	704	235	
AH	6,044,347	03/2000	Abella et al.	704	272	
AI	6,636,831	10/2003	Profit et al.	704	275	
AJ	6,526,381	02/2003	Wilson, Andrew T.	704	251	
AK	6,377,913	04/2002	Coffman et al.	704	8	

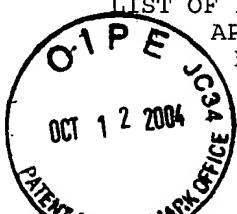
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,021,409	02/2000	Burrows, Michael	707	102	
AB	5,864,863	01/1999	Burrows, Michael	707	103R	
AC	6,076,056	06/2000	Huang et al.	704	254	
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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